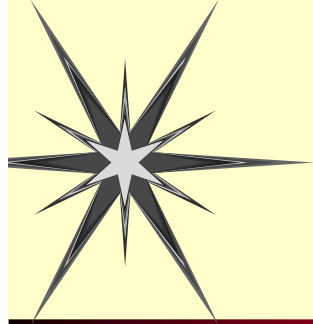


# Lights and Darks of the Star-Free Star

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Edward Ochmański & Krystyna Stawikowska

Nicolaus Copernicus University, Toruń, Poland

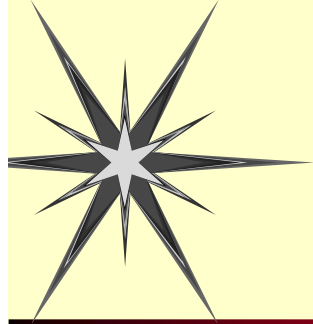


# Introduction: star may destroy recognizability

In (finitely generated) trace monoids we have:

- *singletons are in REC*
- *REC is closed under union*
- *REC is closed under catenation*
- *REC is included in RAT*

**Corollary:** If a **rational** language is **not recognizable**,  
recognizability was broken by a **star operation**.

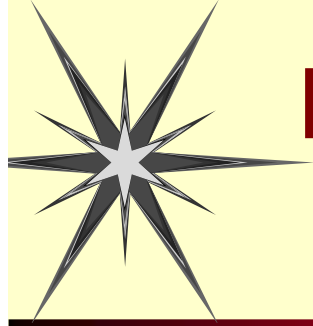


# History: questions on recognizable star

Rational expressions are often more convenient (e.g. for inductive proofs) than the automata-oriented or algebraic descriptions of recognizable languages

**Definition:** Recognizable star is the classical star, working only if its result is recognizable (and undefined otherwise)

**Questions:** Does recognizable star produce (jointly with union and catenation) the whole class REC?



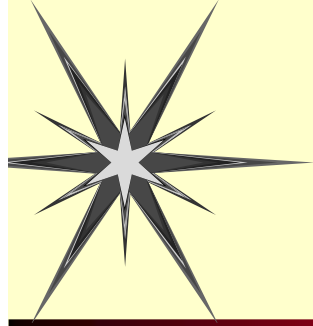
# History: recognizable stars in free and trace monoids

**Questions:** Does recognizable star produce (jointly with union and catenation) the whole class REC?

**Answer 1 (Kleene):** In finitely generated free monoid YES.  
Any star keeps recognizability!

**Answer 2:** In arbitrary trace monoid YES.

The connected star keeps recognizability  
and builds the whole REC.



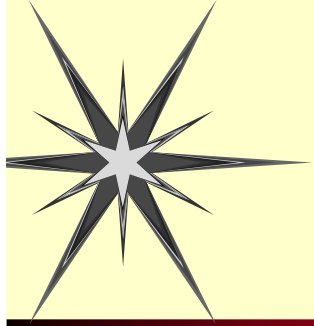
# Monoids, languages, free monoids

**Monoid**  $(M, \cdot)$  – a set  $M$  with an associative operation (called *product*) and a neutral element  $\varepsilon$  (called *unit*).

**Language** in  $(M, \cdot)$  – any subset of  $M$ .

**Atomic languages (atoms)** – empty language  $\emptyset$   
and singletons  $\{m\}$ , for  $m \in M$ .

**Free Monoid**  $(A^*, \cdot)$  – set of all finite words (including  $\varepsilon$ ) over an alphabet  $A$ , with concatenation.



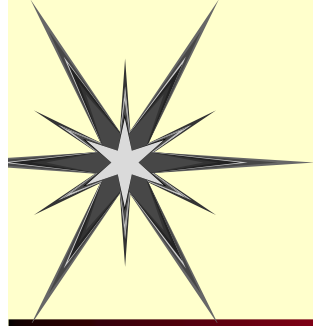
# Operations on languages

**Set-theoretical operations:**

- union  $X \cup Y$
- intersection  $X \cap Y$
- difference  $X \setminus Y$
- complement  $X' = M \setminus X$

**Algebraic operations:**

- product:  $XY = \{xy \mid x \in X, y \in Y\}$
- power:  $X^0 = \{\varepsilon\}$ ,  $X^{n+1} = XX^n$
- star:  $X^* = \cup \{X^n \mid n=0,1,\dots\}$



# Rational and star-free languages

***Rational languages:*** languages built up from atoms with operations of **union**, **product** and **star**.

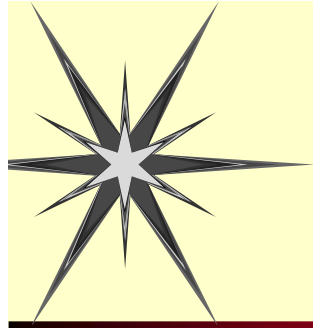
*Rational expressions:* expressions describing such construction.

$\text{RAT}(M)$ : the class of rational subsets of  $M$ .

***Star-free languages:*** languages built up from atoms with operations of **union**, **product** and **complement**.

*Star-free expressions:* expressions describing such construction.

$\text{SF}(M)$ : the class of star-free subsets of  $M$ .



# Syntactic monoid, recognizable and aperiodic languages

**syntactic congruence**  $\approx_L \subseteq M \times M$  of a language  $L \subseteq M$ :

$$u \approx_L v \text{ iff } (\forall x, y \in M) xuy \in L \Leftrightarrow xvy \in L$$

**syntactic monoid** of a language  $L \subseteq M$ :

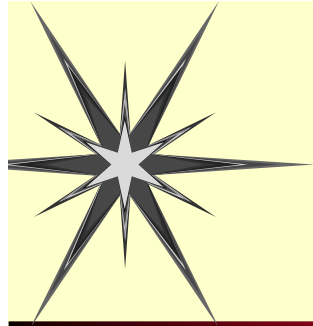
$$\text{quotient monoid } M_L = M / \approx_L$$

A language  $L \subseteq M$  is:

**recognizable (REC)** iff its syntactic monoid  $M_L$  is finite;

**aperiodic (AP)** iff its syntactic monoid  $M_L$  is finite and aperiodic, i.e.  $(\exists n)(\forall x \in M_L) x^n = x^{n+1}$ .





# Star-Free = Aperiodic (in $A^*$ )

## ***Theorem (Schützenberger 1965):***

In finitely generated free monoids  $SF(A^*) = AP(A^*)$

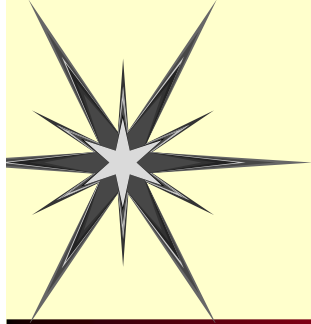
i.e. *a language is star-free iff it is aperiodic*

**Example:** The language  $(aa)^*$  has syntactic monoid:

	$\varepsilon$	$a$
$\varepsilon$	$\varepsilon$	$a$
$a$	$a$	$\varepsilon$

It is not aperiodic,  
as  $a^n \neq a^{n+1}$  for any  $n$ .

By Schützenberger Theorem,  $(aa)^*$  is not star-free.



## Example: $M = \{a, b\}^*$ , $L = (ab)^*$

The language  $(ab)^*$  is **star-free**

$$(ab)^* = (b\emptyset' \cup \emptyset' a \cup \emptyset' aa \emptyset' \cup \emptyset' bb \emptyset')'$$

Syntactic monoid of  $(ab)^*$

	$\varepsilon$	$a$	$b$	$ab$	$ba$	$0$
$\varepsilon$	$\varepsilon$	$a$	$b$	$ab$	$ba$	$0$
$a$	$a$	$0$	$ab$	$0$	$a$	$0$
$b$	$b$	$ba$	$0$	$b$	$0$	$0$
$ab$	$ab$	$a$	$0$	$ab$	$0$	$0$
$ba$	$ba$	$0$	$b$	$0$	$ba$	$0$
$0$	$0$	$0$	$0$	$0$	$0$	$0$

$$a^2 = a^3 = 0$$

$$b^2 = b^3 = 0$$

$$(ab)^2 = (ab)^3 = ab$$

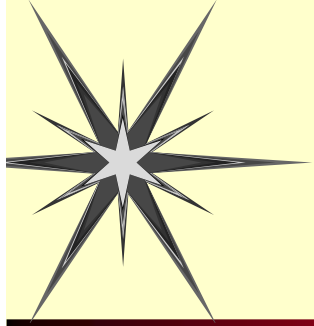
$$(ba)^2 = (ba)^3 = ba$$

$$\varepsilon^2 = \varepsilon^3 = \varepsilon$$

$$0^2 = 0^3 = 0$$

$$n = 2$$

Hence  $(ab)^*$  is **aperiodic**



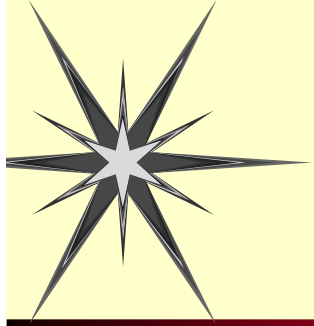
# Operation of STAR-FREE STAR

***Star-free star operation:***

$$L^{\times} = \begin{cases} L^* & \text{if } L^* \text{ is star-free} \\ \text{undefined} & \text{otherwise} \end{cases}$$

***SFS-expression:*** a rational expression, built from atoms with symbols of union, product and star-free star

***SFS-language:*** a language built up from atoms with operations of union, product and star-free star



## ***Question: SFS = SF ?***

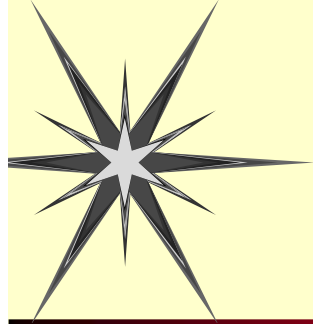
**Inclusion  $\subseteq$  holds in any monoid, since union, product and star-free star preserve star-freeness.**

**Inclusion  $\supseteq$  is not general;  
there are monoids in which it does not hold.**

***Example:***  $(\mathbb{Z}, +)$  – integers with addition

$$\text{SF} = \{ X \subseteq Z \mid X \text{ or } X' \text{ is finite} \}$$

$$\text{SFS} = \{ X \subseteq Z \mid X = Z \text{ or } X \text{ is finite} \}$$



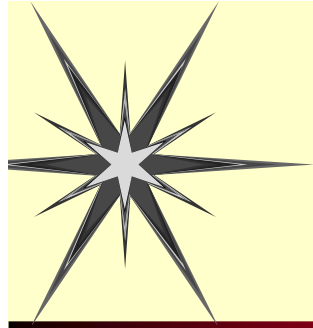
# Star-free star in free monoids

***Theorem (O/S 2005):***

**In finitely generated free monoids  $SFS = SF$**

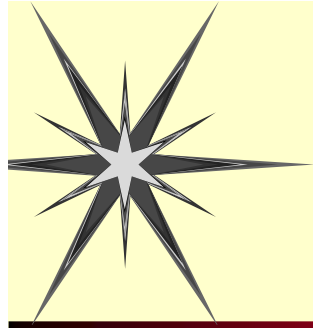
Inclusion  $\subseteq$  holds in any monoid.

The proof of  $\supseteq$  is based on McNaughton/Yamada (1960) construction of regular expressions for automata and uses the Schützenberger Theorem.



# Many-sided characterization of Star-Free Trace Languages

$$\text{SF}(A^*) = \text{SFS}(A^*)$$



# Traces and trace languages

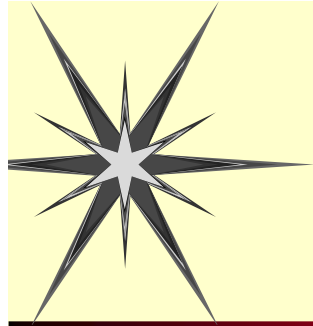
**Concurrent alphabet** – pair  $(A, I)$ , where  $A$  is a finite alphabet,  $I \subseteq A \times A$  is a symmetric and irreflexive **independence** relation; complement of  $I$ , the relation  $D = A \times A - I$ , is called **dependency**.

**Trace monoid**  $M = A^* / I$  – quotient of  $A^*$  by the least congruence containing the relation  $\{ab \approx ba \mid aIb\}$ .

**Traces** – members of trace monoids.

**Trace languages** – subset of trace monoids.

**Free monoid** – trace monoid with  $I = \emptyset$ .



## Lemma on closing product

**Flattening** of a trace language:

$$UT = \{ w \in A^* \mid [w] \in T \}$$

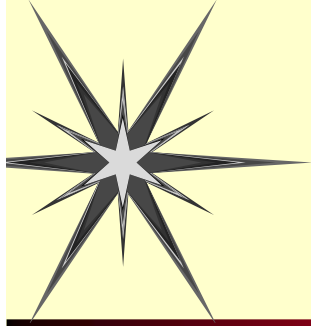
**Closure** of a word language:

$$\overline{L} = U[L] = \{ w \in A^* \mid [w] \in [L] \}$$

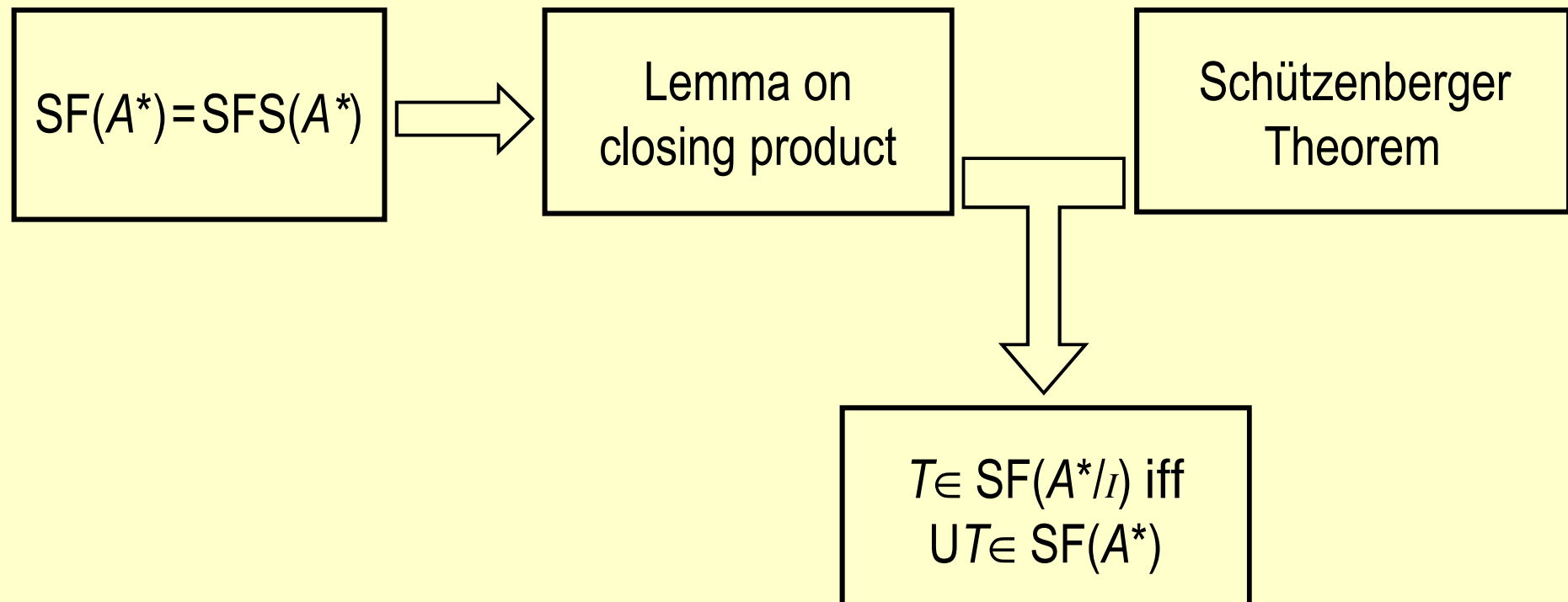
$L$  is **closed** iff  $L = \overline{L}$

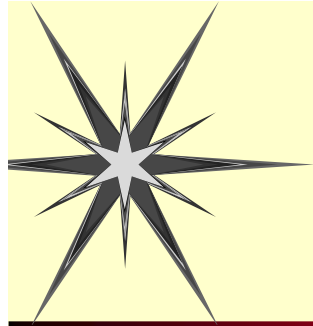
**Lemat:** If  $K, L \subseteq A^*$  are closed and star-free, then  $\overline{KL}$  is star-free.





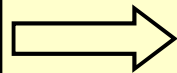
# Flat characterization of star-free trace languages



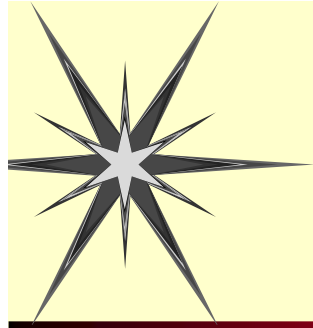


# Many-sided characterization of Star-Free Trace Languages

$SF(A^*) = SFS(A^*)$



$T \in SF(A^*/I)$  iff  
 $UT \in SF(A^*)$



# Aperiodic characterization of star-free trace languages

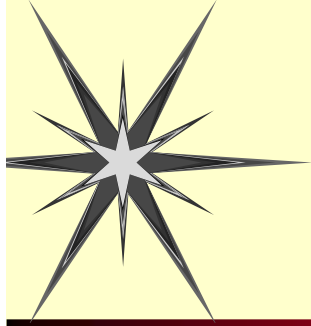
**Theorem (Guaiana/Restivo/Salemi 1992):**

**In any trace monoid,**

**$T$  is star-free iff  $T$  is aperiodic.**

**Proof:**

$$\begin{array}{ccc} T \in AP(A^*/I) & \Rightarrow & T \in SF(A^*/I) \\ \Uparrow & & \Downarrow \\ \cup T \in AP(A^*) & \Leftarrow & \cup T \in SF(A^*) \end{array}$$

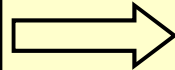


# Many-sided characterization of Star-Free Trace Languages

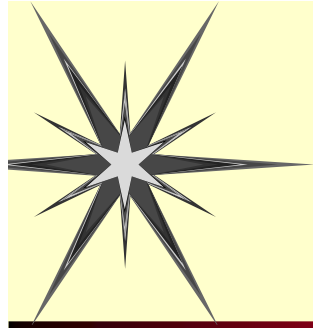
$$\text{SF}(A^*/I) = \text{AP}(A^*/I)$$



$$\text{SF}(A^*) = \text{SFS}(A^*)$$



$$T \in \text{SF}(A^*/I) \text{ iff } \\ UT \in \text{SF}(A^*)$$



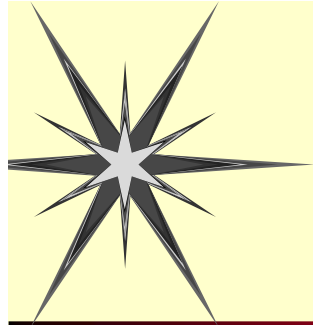
## Logic for traces (*Thomas 1989*)

$(A, I)$  – concurrent alphabet,  $w = a_1 \dots a_n \in A^*$ .

**Model** for the trace  $[w] \in A^*/I$  is the **trace-graph**

$\langle V, E, \lambda \rangle$  where  $V = \{x_1 \dots x_n\}$  – vertices,  $E \subseteq V \times V$  – edges and  $\lambda: V \rightarrow A$  is s.t.  $(\forall i) \lambda(x_i) = a_i$  and  $x_i E x_j$  iff  $i < j$  &  $a_i D a_j$

First order formula is build up from atomic formulas  $x=y$ ,  $xEy$  and  $\lambda(x)=a$  with logical connectives and quantifiers.



## First order definability

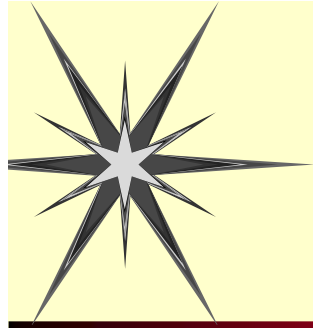
$T(\Psi) = \{ \alpha \in A^*/I \mid \Psi \text{ is satisfied in } \alpha \}$  – trace language defined by the sentence  $\Psi$ .

Trace language  $T$  is **first-order definable** iff there is a first-order sentence  $\Psi$  s.t.  $T = T(\Psi)$ .

$FO(A^*/I)$  – the class of first-order definable languages in the trace monoid  $A^*/I$ .

***Theorem (McNaughton/Papert 1971):***

In finitely generated free monoids  $SF(A^*) = FO(A^*)$



# Lexicographic representation

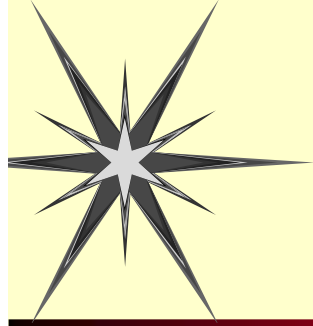
$(A, <, I)$  – ordered concurrent alphabet

**Lexicographic representative** of trace  $\alpha \in A^*/I$   
– a word  $Lex(\alpha) \in A^*$ , lexicographically first in  $\alpha$ .

**$Lex(T) = \{ Lex(\alpha) \in A^* \mid \alpha \in T \}$**   
– lexicographic representation of the trace language  $T$ .

**$LEX = Lex(A^*/I)$**   
– lexicographic representation of the trace monoid.

$LEX = A^* - \cup \{ A^* b (I_a)^* a A^* \mid a I b \wedge a < b \},$   
where  $I_a = \{ c \in A \mid a I c \}$ , hence **LEX is star-free.**



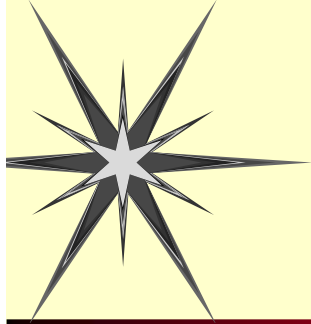
# Flat and Lex characterizations of first-order trace languages

***Theorem (Ebinger/Muscholl 1993):***

**For any trace language  $T$ ,  
the following statements are equivalent:**

- (1)  $T$  is first-order definable in  $A^*/I$**
- (2)  $UT$  is first-order definable in  $A^*$**
- (3)  $\text{Lex}(T)$  is first-order definable in  $A^*$**



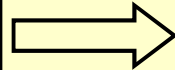


# Many-sided characterization of Star-Free Trace Languages

$$\text{SF}(A^*/I) = \text{AP}(A^*/I)$$

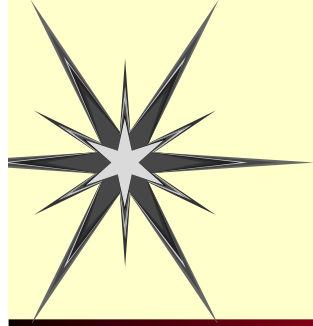


$$\text{SF}(A^*) = \text{SFS}(A^*)$$



$$\begin{aligned} T \in \text{SF}(A^*/I) &\text{ iff} \\ UT \in \text{SF}(A^*) \end{aligned}$$

$$\begin{aligned} T \in \text{FO}(A^*/I) &\text{ iff} \\ UT \in \text{FO}(A^*) &\text{ iff} \\ \text{Lex}(T) \in \text{FO}(A^*) \end{aligned}$$

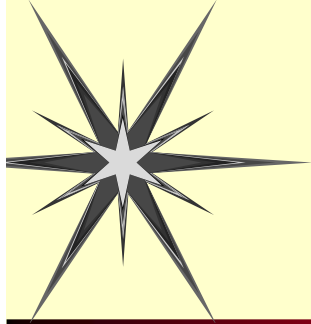


# Logical characterization of star-free trace languages

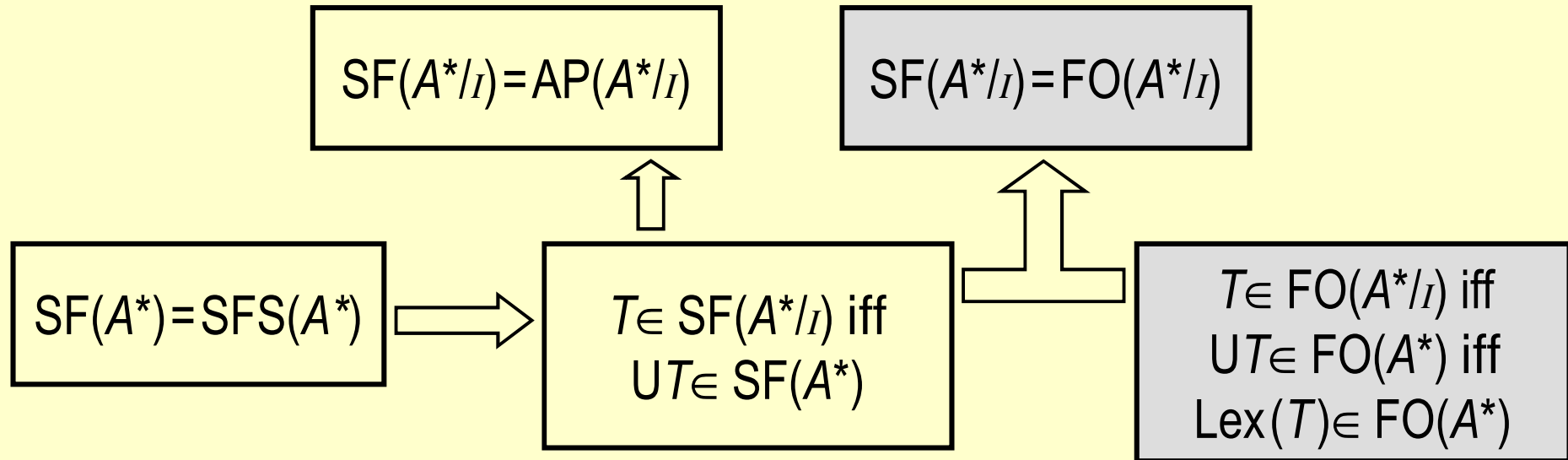
***Theorem (Ebinger/Muschol 93, Diekert/Metivier 97):***

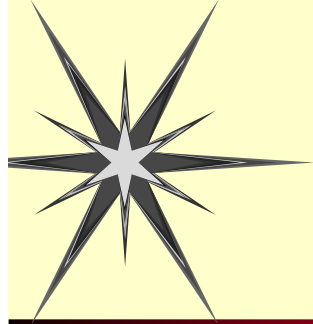
**A trace language is star-free  
if and only if  
it is first-order definable**

***Proof.***  $T \in \text{SF}(A^*/I)$  iff  $\cup T \in \text{SF}(A^*)$  iff  
 $\cup T \in \text{FO}(A^*)$  iff  $T \in \text{FO}(A^*/I)$



# Many-sided characterization of Star-Free Trace Languages





# Lexicographic characterization of star-free trace languages

***Theorem:***

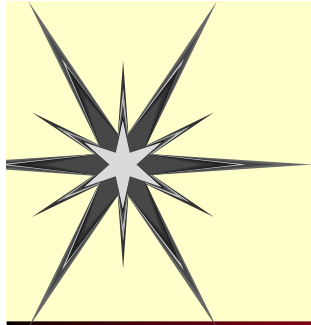
**A trace language is star-free in  $A^*/I$**

**if and only if**

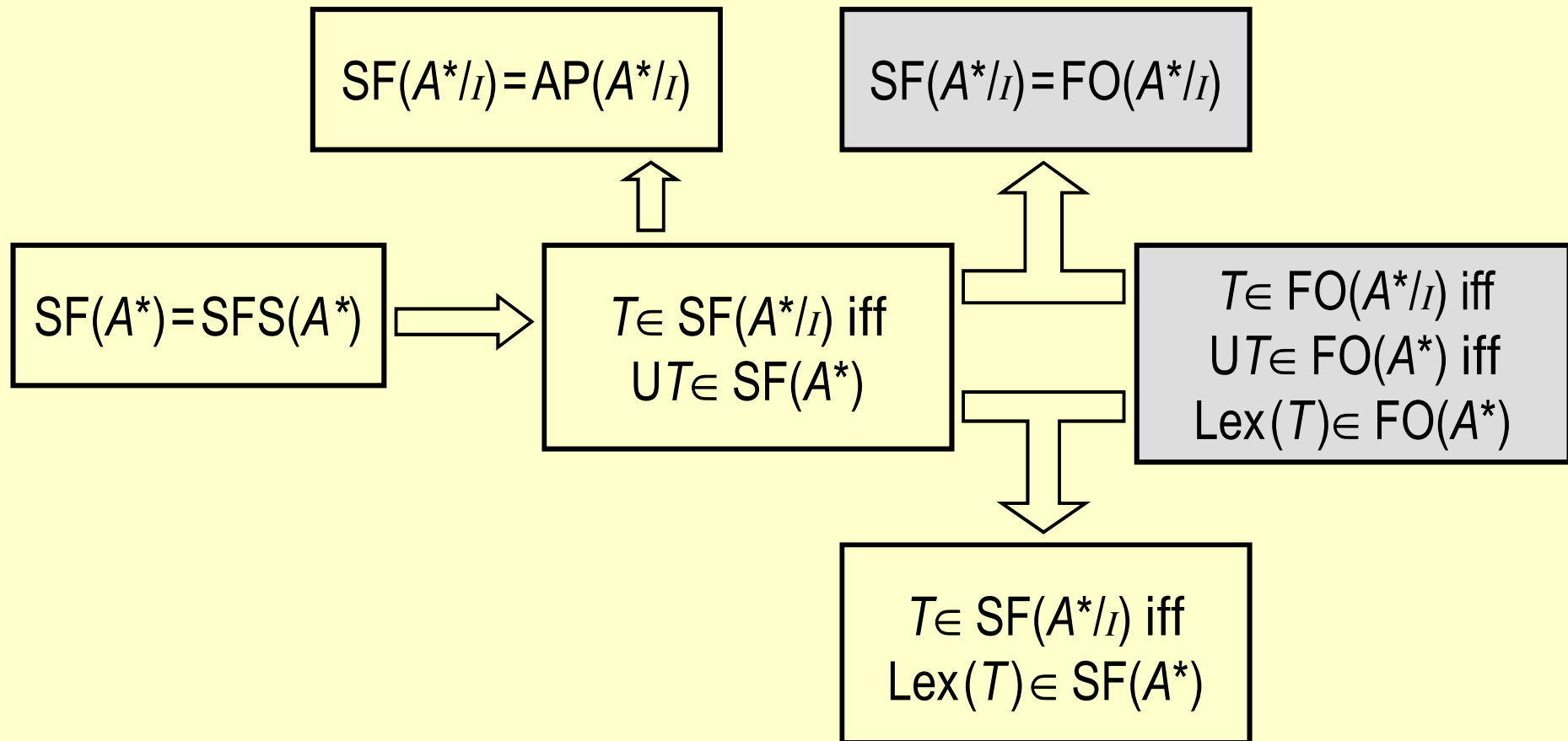
**its lexicographic representation is star-free in  $A^*$**

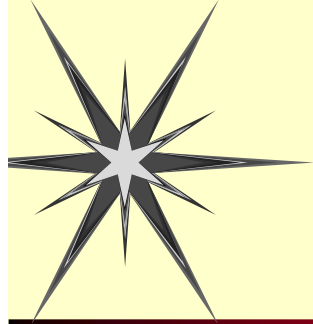
***Proof.***  $T \in \text{SF}(A^*/I)$  iff  $T \in \text{FO}(A^*/I)$  iff

$\text{Lex}(T) \in \text{FO}(A^*)$  iff  $\text{Lex}(T) \in \text{SF}(A^*)$



# Many-sided characterization of Star-Free Trace Languages





# Star-free star in trace monoids

**Lemma 1:** If  $L \in \text{SFS}(A^*)$  and  $L \subseteq \text{LEX}$ ,  
then  $[L] \in \text{SFS}(A^*/I)$

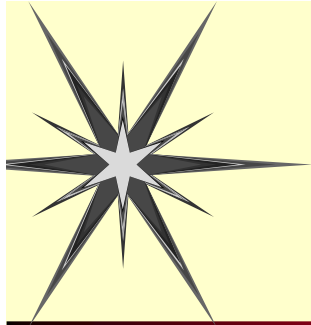
**Proof.** Structural induction on SFS-expressions.

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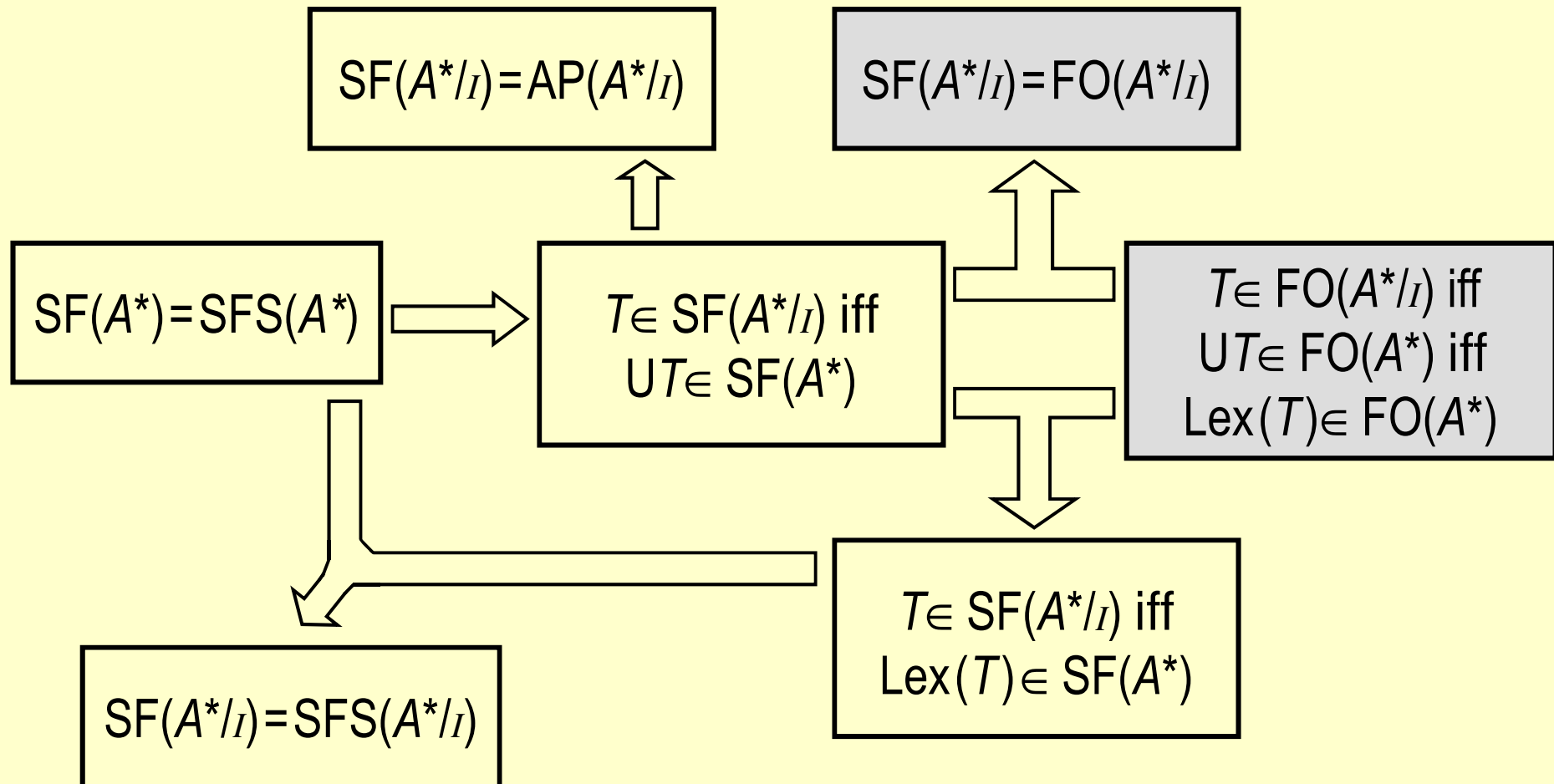
**Lemma 2:** If  $UT \in \text{SFS}(A^*)$ , then  $T \in \text{SFS}(A^*/I)$

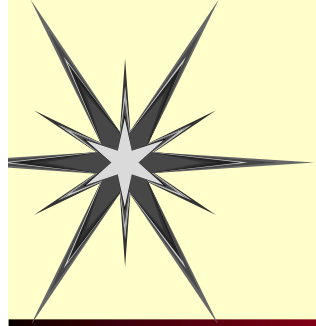
**Theorem:** In trace monoids  $\text{SFS} = \text{SF}$

**Proof.**  $T \in \text{SF}(A^*/I) \Rightarrow UT \in \text{SF}(A^*) \Rightarrow$   
 $UT \in \text{SFS}(A^*) \Rightarrow T \in \text{SFS}(A^*/I) \Rightarrow T \in \text{SF}(A^*/I)$



# Many-sided characterization of Star-Free Trace Languages





## Problems 1-3

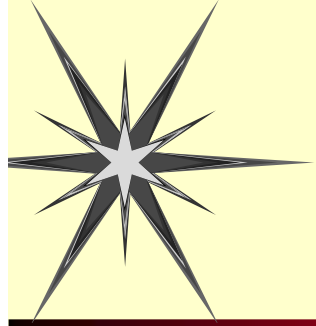
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***Problem 1:*** To find syntactically formulated conditions for star-free star in trace monoids.

***Problem 2:***

In which monoids  $SFS = SF$ ? Does it hold in concurrency monoids of Droste?





# Decision Problems

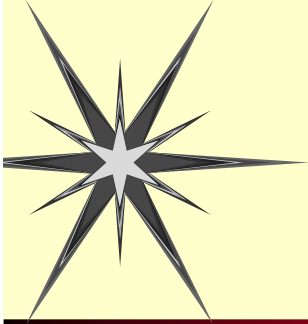
## ***Theorem (Muscholl/Petersen 1996):***

The problem "***Is  $T$  star-free?***" is decidable for rational trace languages only in trace monoids with transitive independency.

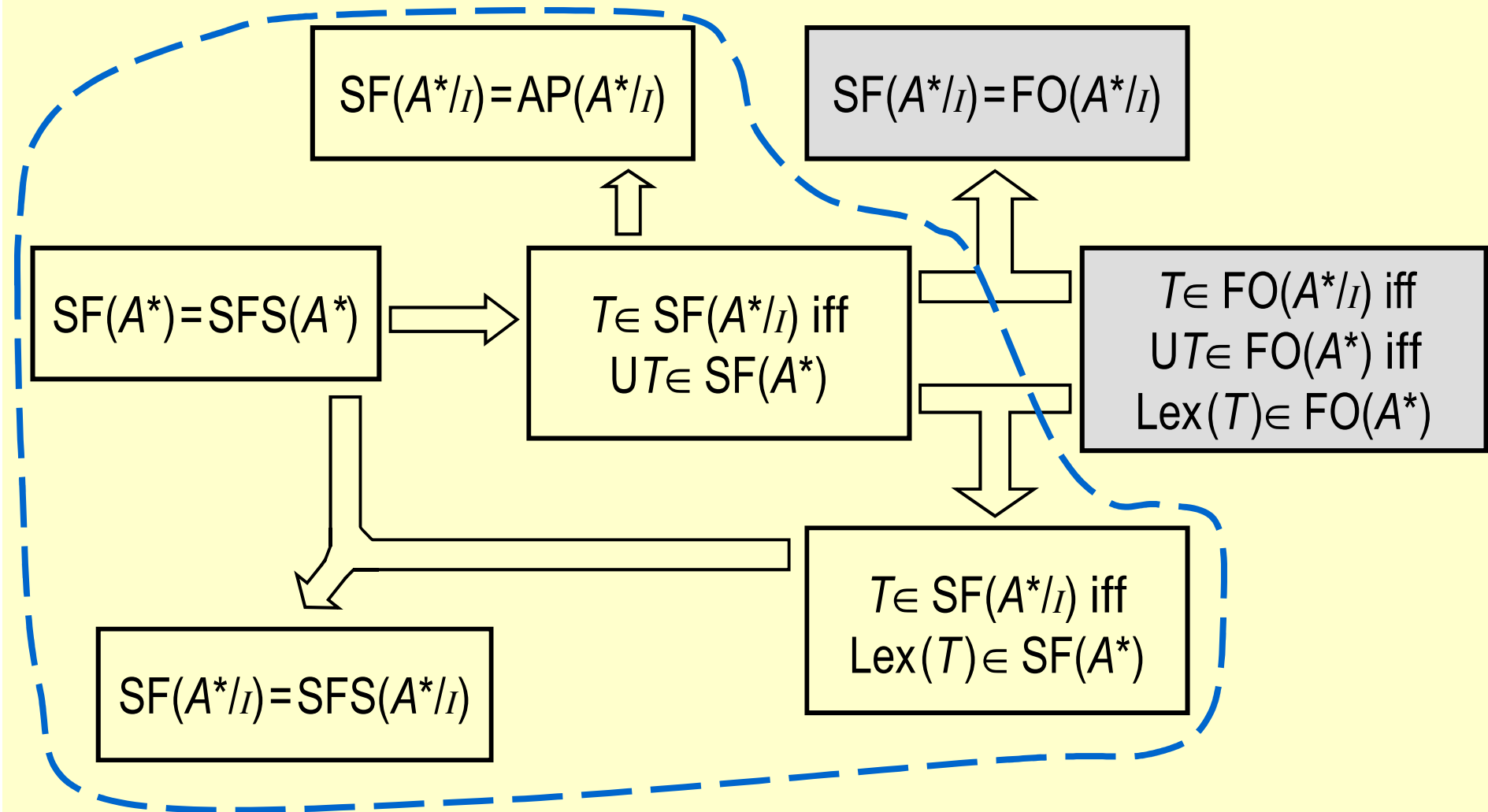
## ***Problem 3 (star problem for s-f trace languages):***

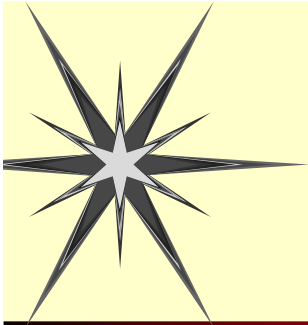
Is the question „***Is  $T^*$  star-free?***” decidable for:

- **star-free trace languages?**
- **finite trace languages?**

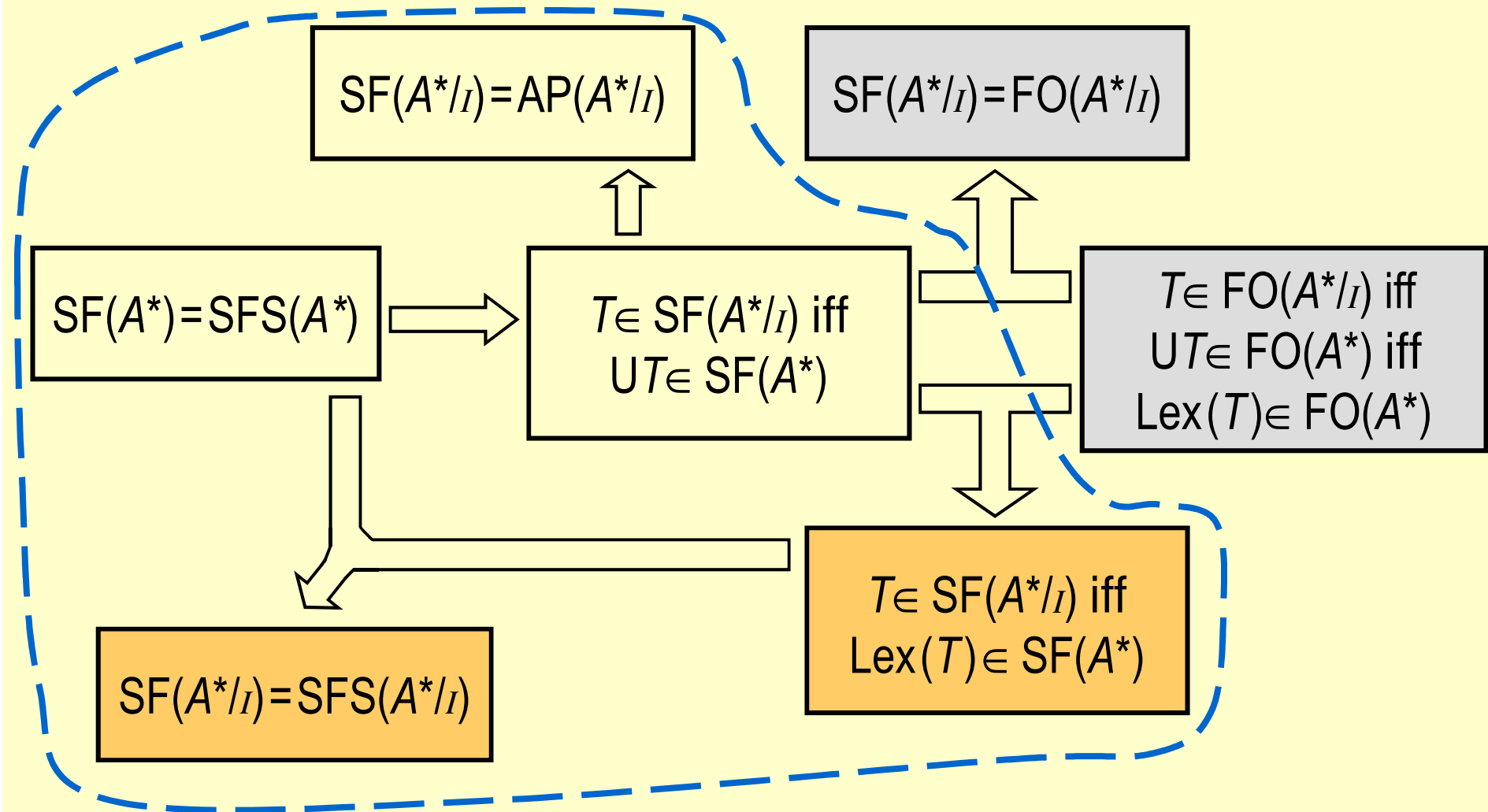


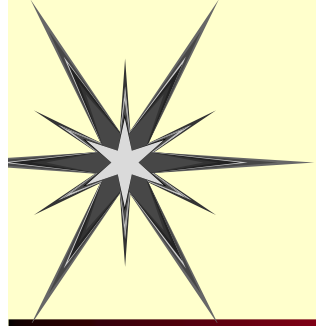
# A part without logic





# A part without logic



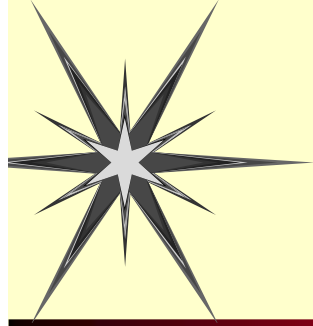


## **Problem 4 – avoiding logic**

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***Problem 4:***

**To prove the non-logic part of  
the characterization without logic**



# LSF languages

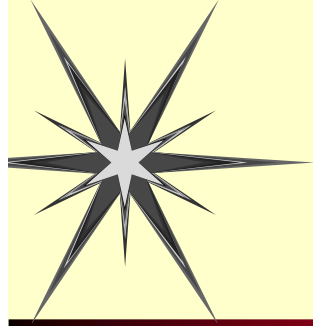
***lexicographic product:***

$X \circ Y = XY$  if  $XY \subseteq LEX$  else undefined

***lexicographic complement:***

$X'' = LEX - X$

***LSF =*** the class of word languages that are built from atoms with **union**, **lexicographic product** and **lexicographic complement**



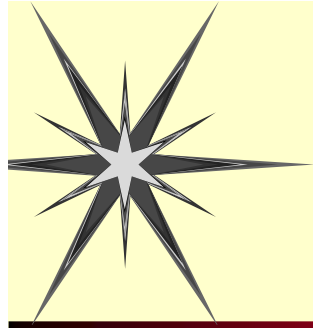
**Question:  $LSF = SF$  inside  $LEX$  ?**

**Lemma: If  $L \in LSF$ , then  $[L] \in SF(A^*/I)$**

**Proof:** By lemma on closing product.

**By definition: If  $L \in LSF$ , then  $L \in SF$  and  $L \subseteq LEX$**

**Question: Does the converse hold?**



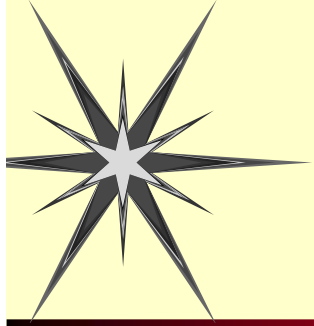
# Transitively oriented alphabets

An ordered alphabet  $(A, <, /)$  is **transitively oriented**  
iff  $< \cap /$  is transitive.

**Lemma:** If  $L \in SF$  and  $L \subseteq LEX$ , then  $L \in LSF$

**Proposition:**  $LSF = \{L \subseteq A^* \mid L \in SF \text{ and } L \subseteq LEX\}$   
if  $(A, <, /)$  is transitively oriented

**Problem 4a:** Does the proposition hold for any  
ordered alphabet?



## Example

D: a — c — b

$$LEX = (a^*b^*c)^*a^*b^* = a^*b^*(ca^*b^*)^*$$

$$a^* = LEX - (LEX \cdot c \cdot LEX \cup LEX \cdot b)$$

$$b^* = LEX - (LEX \cdot c \cdot LEX \cup a \cdot LEX)$$

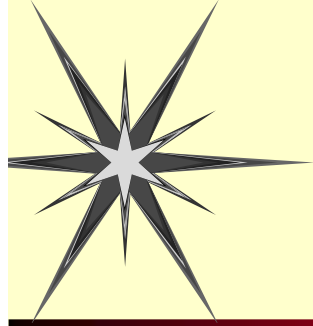
$$c^* = LEX - ((LEX - LEX \cdot b) \cdot a \cdot LEX \cup LEX \cdot b \cdot (LEX - a \cdot LEX))$$

$$(a \cup c)^* = LEX - (LEX \cdot b \cup LEX \cdot bc \cdot LEX)$$

$$a^*b^* = LEX - LEX \cdot c \cdot LEX$$

$$(ac)^* = LEX - (c \cdot LEX \cup (LEX - LEX \cdot b) \cdot a \cup LEX \cdot cc \cdot LEX \cup (LEX - LEX \cdot b) \cdot aa \cdot LEX)$$

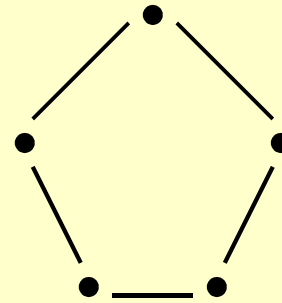




# How is LSF in the Pentagon?

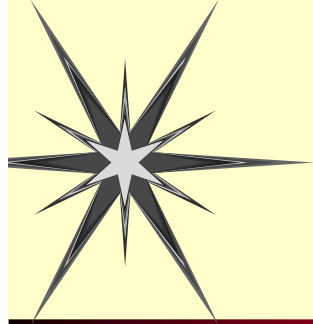
**Pentagon cannot be transitively oriented**

***D:***



***What about LSF in the pentagon?***

***Does  $a^*$  belong to LSF?***



# Publications

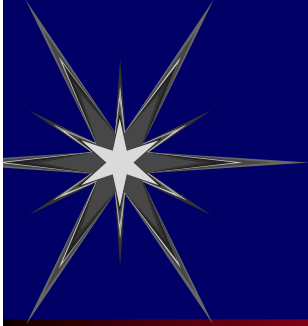
Edward Ochmański, Krystyna Stawikowska: *On Closures of Lexicographic Star-Free Languages*, Proceedings of AFL 2005, pp.227-234, University of Szeged, 2005.

Edward Ochmański, Krystyna Stawikowska: *Star-Free Star and Trace Languages*, Fundamenta Informaticae 72, pp. 323-331, IOS Press 2006.

Krystyna Stawikowska, Edward Ochmański: *On Star-Free Trace Languages and their Lexicographic Representations*, Proceedings of LATA 2007, pp. 541-551, Universitat Rovira i Virgili, Tarragona, Spain, 2007.

Edward Ochmański, Krystyna Stawikowska: *A Star Operation for Star-Free Trace Languages*, Proceedings of DLT 2007, LNCS 4588, pp. 337-345, Springer 2007.

Krystyna Stawikowska: *Word Languages Inducing Recognizable and Star-Free Trace Languages*, PhD Thesis (in Polish), Toruń-Warszawa, 2007.



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